

01/18/02
10082 U.S. PTO

1-22-02

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9792909-5359

First Inventor Tsutomu Tanaka

Title See 1 in Addendum

Express Mail Label No. EL834723407US

10/05/02
10/05/02
10/05/02**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 26]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
[Total Pages 1]
5. Oath or Declaration [Total Pages 2]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 i. **DELETION OF INVENTOR(S)**
*Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).*
6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part(CIP)

of prior application No 09 / 827,676

Prior application information:

Examiner T. Tran

Group Art Unit: 2811

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26263 <i>(Insert Customer No. or Attach bar code label here)</i>		or	<input type="checkbox"/> Correspondence address below
Name				
Address				
City		State		Zip Code
Country		Telephone		Fax
Name (Print/Type)	David R. Metzger		Registration No. (Attorney/Agent)	32,919
Signature	<i>David R. Metzger</i>		Date	1/18/02

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Addendum

1. THIN-FILM TRANSISTOR AND METHOD FOR MAKING THE SAME
2. Copy of recorded assignment from parent application
Copy of coversheet of Japanese Priority Document No. 2000-105304

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.**

TOTAL AMOUNT OF PAYMENT (\$1,020.00)

Complete if Known

Application Number	
Filing Date	January 18, 2002
First Named Inventor	Tsutomu Tanaka
Examiner Name	
Group / Art Unit	
Attorney Docket No.	9792909-5359

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-3140

Deposit Account Name Sonnenschein Nath & Rosenthal

 Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	0.00
127	50	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	Non-English specification	0.00
147	2,520	For filing a request for reexamination	0.00
112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	Extension for reply within first month	0.00
116	380	Extension for reply within second month	0.00
117	870	Extension for reply within third month	0.00
118	1,360	Extension for reply within fourth month	0.00
128	1,850	Extension for reply within fifth month	0.00
119	300	Notice of Appeal	0.00
120	300	Filing a brief in support of an appeal	0.00
121	260	Request for oral hearing	0.00
138	1,510	Petition to institute a public use proceeding	0.00
140	110	Petition to revive - unavoidable	0.00
141	1,210	Petition to revive - unintentional	0.00
142	1,210	Utility issue fee (or reissue)	0.00
143	430	Design issue fee	0.00
144	580	Plant issue fee	0.00
122	130	Petitions to the Commissioner	0.00
123	50	Petitions related to provisional applications	0.00
126	240	Submission of Information Disclosure Stmt	0.00
581	40	Recording each patent assignment per property (times number of properties)	0.00
146	690	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	690	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify) _____			0.00
Other fee (specify) _____			0.00

SUBTOTAL (1) (\$740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
5	-20** = 0	x 18	= 0
Independent Claims 3	- 3** = 0	x 84	= 0
Multiple Dependent		280	= 280

*or number previously paid, if greater; For Reissues, see below

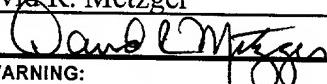
Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim, if not paid
109	78	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$280.00)

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$0.00)

SUBMITTED BY*Complete if applicable*

Name (Print/Type)	David R. Metzger	Registration No. (Attorney/Agent)	32,919	Telephone	312-876-8000
Signature				Date	1/18/02

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: January 18, 2002

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to USPTO, P.O. Box 2327 - Patent Application, Arlington, VA 22202.

Applicant(s): T. Tanaka, et al.

Attorney Docket No. 09792909-5359

Transmittal (2 pages - in duplicate)

Fee Transmittal (1 page - in duplicate)

Specification (26 pages)

\$1020.00 filing fee (5 claims - 3 independent)

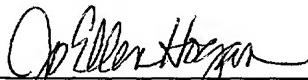
Drawings (7 sheets - Figs. 1-8L)

Copy of Declaration and POA from parent application

Copy of recorded assignment from parent application

Copy of cover page of certified copy of Japanese priority document No. P2000-105304

Return postcard



Signature of Person Mailing Application and Fees